TO: Derek Greenland
Carrier Fire & Security Americas Corporation
1212 Pittsford Victor Road
Pittsford, NY 14532

FROM: Matthew Arnold on behalf of the FIPS 201 Evaluation Program

DATE: 9/18/2023

RE: **Approval of Products/Services for Listing on the GSA APL**

The Office of Management and Budget (OMB) issued M-19-17 that requires Federal Agencies to procure only qualified products and services listed on the GSA Approved Products List (APL) when implementing HSPD-12 into their environment. Procurement of approved products and services facilitates the government-wide objective of a federated and interoperable ICAM segment architecture, and ensures compliance, consistency and alignment of commercially-available products and services with the requirements and functional needs of government ICAM implementer.

Accordingly, the FIPS 201 Evaluation Program (Program) is very pleased to inform you that the following product/service has passed testing against all applicable Testing Requirements. Please see the attached document that summarizes the specific parameters of the approval (e.g., product/service profile, what your product/service was tested with). Your product/service will now be listed on the APL as shown below. If there are any errors in the listing information, please notify me within five (5) business days of receiving this letter.

Approval Authorization Letter

1 Category-PACS Infrastructure (APL #10126)

1.1 APL Certification Number

- **APL #10126**

    o OnGuard 8.1 Software for ES, ADV, PRO, or Enterprise
    o UL Listed 6Ah Power Supply and Enclosure
    o LNL X4420 LenelS2 Intelligent Dual Reader Controller
    o LNL 1320-S3 LenelS2 Dual Reader Controller
    o LNL 1300-S3 LenelS2 Single Door Controller
    o LNL 1324e LenelS2 Dual Reader Edge Controller
    o LNL 1300e Single Reader Network Board

1.2 Product/Service Information

Organization Name:	LenelS2
Name of Product or Service:	OnGuard 8.1 Software for ES, ADV, PRO, or Enterprise
Part Number:	OG-MEDIA-KIT
Hardware Version:	
Software Version:	8.1.639
Firmware Version:	

Organization Name:	LenelS2
Name of Product or Service:	LenelS2 Intelligent Dual Reader Controller
Part Number:	LNL-X4420
Hardware Version:	
Software Version:	EntryPoint:5.8.5.56
Firmware Version:	Mercury:1.30.3

Organization Name:	LenelS2
Name of Product or Service:	LenelS2 Single Door Controller
Part Number:	LNL-1300-S3
Hardware Version:	
Software Version:	
Firmware Version:	3.21.3

Organization Name:	LenelS2
Name of Product or Service:	Series 3 Dual Reader Controller
Part Number:	LNL-1320-S3
Hardware Version:	
Software Version:	
Firmware Version:	3.21.3

Organization Name:	LenelS2
Name of Product or Service:	LenelS2 Dual ReaderEdge Controller
Part Number:	LNL-1324e
Hardware Version:	
Software Version:	
Firmware Version:	3.21.12

Organization Name:	Technology Industries
Name of Product or Service:	EntryPoint Base FICAM Enrollment Package. Includes: • (1) Registration Workstation License for FIPS/FICAM Enrollment • (1) PACS or LACS Connector • (1) Certificate Management Engine for Periodic Revocation Checks and connection to Federal Bridge1 • (1) Database Connector
Part Number:	EP-EWS
Hardware Version:	
Software Version:	5.9.1
Firmware Version:	

1.3 Document History

Status	Date	Comments
Approved	10/22/2020	Initial approval for Lenel OnGuard + TI EntryPoint
Update	7/12/2021	Update on validation and PACS
Update	1/24/2023	Update on validation and PACS
Update	9/18/2023	Added the uTrust readers with their part number to PACS PIV Reader Restrictions

1.4 Restrictions:

This product has been tested and approved as a component of a fully compliant FICAM Solution. The end to end solution components used to test the FICAM compliance of the approved solution are listed below. The Lenel OnGuard 8.1 (APL 10126) + TI EntryPoint 5.9.1 (APL 10127) PACS Topology 13.01 solution below was issued a 6-month exception, expiring on February 14, 2024, for failing Time of Access test case for an ECC PKI-CAK. This letter will be updated once the exception is resolved..

- 13.01 Validation System (APL# **10127**)
  - o   EntryPoint Validation software V5.9.1

- o EntryPoint Validation Service – Lenel OnGuard V5.9.1
- o EntryPoint PACS Connector – Lenel OnGuard V5.9.1
- o EntryPoint High Assurance Reader Service - V5.9.1

- ● PACS PIV Reader
  - o Allegion Schlage Mullion Reader OSDP V22 Rev.H (APL #**10128**)
  - o Allegion Schlage Single Gang Reader OSDP V22 Rev.H (APL #**10129**)
  - o Veridt Dual Bio Contact/Contactless Reader (APL# **10092**)
  - o Veridt Stealth Dual Contact/Contactless Reader (APL# **10093**)
  - o Veridt Stealth Lite Dual Contact/Contactless Reader (APL# **10094**)
  - o Veridt Stealth Contactless Reader (APL# **10095**)
  - o Veridt Stealth Lite Contactless Reader (APL# **10096**)
  - o Identiv uTrust TS Reader with Keypad (contact/contactless) *(UTR-9216 - XXXX-LNL)* (APL #**10153**)
  - o Identiv uTrust Contact TS Reader with Wallmount (contact/contactless) *(UTR-9116 - XXXX-LNL)* (APL #**10152**)
  - o Identiv uTrust Mullion Contactless Reader *(UTR9002-XXXX-LNL)* (APL #**10154**)
  - o Identiv uTrust Wall mount Contactless Reader *(UTR9102-XXXX-LNL)* (APL #**10155**)
  - o Identiv uTrust Wall mount Contact/Contactless Reader *(UTR9106-XXXX-LNL)* (APL #**10156**)
  - o Identiv uTrust Keypad Wall mount Contactless Reader *(UTR9202-XXXX-LNL)* (APL #**10157**)
  - o Identiv uTrust Keypad Wall mount Contact / Contactless Reader *(UTR9206-XXXX-LNL)* (APL #**10158**)

Approval of the above product/service indicates your cooperation with the Program and successful testing against a rigorous, comprehensive set of functional requirements derived from government-wide specifications. Testing included positive and negative test cases, and threat vectors.

Given the strategic government-wide importance of the APL, the scope and extent of testing performed on your product/service, and the rigor of that testing, the Program would like to acknowledge the noteworthiness of the approval and listing. Your product/service will now be available to all Federal Agencies for procurement.

Please note that continued listing on the APL requires ongoing conformance to all applicable requirements, including requirements added or amended over time. A listed product/service can become non-conformant for various reasons including but not limited to:

- Failure to pass testing against new and revised requirements before the effective date of the new/revised requirements.

- Problems discovered in your listed product/service (or class of product/service) are not addressed within time frames specified by the Program.

Refer to applicable Agreements and Program documents for specific details regarding ongoing compliance including severity levels and remediation time frames. Listed products and services that fall out of conformance will be removed from the APL and added to the Removed Products List (RPL).

If you have any questions, please feel free to contact me.

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