



**TO:** Greg Hodges  
HID Global  
611 Center Ridge Drive  
Austin, TX 78753

**FROM:** Matthew Arnold on behalf of the FIPS 201 Evaluation Program

**DATE:** 8/05/2024

**RE:** **Update of Approval of Products/Services for Listing on the GSA APL**

Office of Management and Budget (OMB) issued M-19-17, which requires federal agencies to procure only qualified products and services listed on the GSA Approved Products List (APL) when implementing HSPD-12 in their environment. Procurement of approved products and services facilitates the government-wide objective of a federated and interoperable ICAM segment architecture. It ensures compliance, consistency, and alignment of commercially available products and services with the requirements and functional needs of government ICAM implementers.

Accordingly, the FIPS 201 Evaluation Program (Program) is pleased to inform you that the following product/service has passed testing against all applicable Testing Requirements. Please see the attached document that summarizes the specific parameters of the approval (e.g., product/service profile and what your product/service was tested with). Your product/service will now be listed on the APL, as shown below. If any items need correction in the listing information, please notify me within five (5) business days of receiving this letter.

# Approval Authorization Letter

## 1 Category-Validation System

- (APL# 10113)
  - o pivCLASS Registration Engine for Lenel OnGuard
  - o pivCLASS Certificate Manager
  - o pivCLASS Fixed Reader Services
  - o pivCLASS Embedded Authentication for LNL-X4420 Firmware
  - o pivCLASS IDPublisher
  - o pivCLASS Enrollment SDK for OnGuard
  - o pivCLASS Authentication Module (former APL#10028)

### 1.1 Product/Service Information

<b>Organization Name:</b>	HID
<b>Name of Product or Service:</b>	pivCLASS Registration Engine for LenelS2 OnGuard v8.1
<b>Part Number:</b>	PVCP-D/S-14-00
<b>Hardware Version:</b>	
<b>Software Version:</b>	5.23.1
<b>Firmware Version:</b>	

<b>Organization Name:</b>	HID
<b>Name of Product or Service:</b>	pivCLASS Certificate Manager
<b>Part Number:</b>	PVC-CM
<b>Hardware Version:</b>	
<b>Software Version:</b>	5.23.1
<b>Firmware Version:</b>	

<b>Organization Name:</b>	HID
<b>Name of Product or Service:</b>	pivCLASS Fixed Reader Service
<b>Part Number:</b>	PVC-FXRDR
<b>Hardware Version:</b>	
<b>Software Version:</b>	5.23.1
<b>Firmware Version:</b>	

<b>Organization Name:</b>	HID
<b>Name of Product or Service:</b>	pivCLASS Embedded Authentication for LNL-4420
<b>Part Number:</b>	VAS00530
<b>Hardware Version:</b>	
<b>Software Version:</b>	pivCLASS 5.21.19
<b>Firmware Version:</b>	Mercury 1.30.3

<b>Organization Name:</b>	HID
<b>Name of Product or Service:</b>	pivCLASS ID Publisher
<b>Part Number:</b>	PVC-IDPUBUNL
<b>Hardware Version:</b>	
<b>Software Version:</b>	5.23.1
<b>Firmware Version:</b>	

<b>Organization Name:</b>	HID
<b>Name of Product or Service:</b>	pivCLASS Enrollment SDK for OnGuard
<b>Part Number:</b>	PVC-API-RTL
<b>Hardware Version:</b>	
<b>Software Version:</b>	5.23.1
<b>Firmware Version:</b>	

<b>Organization Name:</b>	HID
<b>Name of Product or Service:</b>	pivCLASS Authentication Module (PAM) (Former APL# 10028)
<b>Part Number:</b>	91000BBNNN
<b>Hardware Version:</b>	
<b>Software Version:</b>	5.20.24
<b>Firmware Version:</b>	

## 1.2 Document History

Status	Date	Comments
Approved	6/15/2017	Final Approval Date for APL# <b>10113</b> : HID pivCLASS Validation System (Software Version 1.3.3.0).
Approved	8/20/2017	Updated approval for APL# <b>10113</b> : HID pivCLASS Validation System (Software Version 5.5.1, Embedded Authentication firmware 5.4.137).
Approved	1/31/2020	Product updates and consolidation of products APL #10028 into this listing. Updated to HID 5.10.1.0 and Lenel 7.5 versions.
Update	4/8/2021	The whole solution was updated to OnGuard 8.0 and pivClass 5.15.1.
Update	11/18/2021	Add HID Signo readers to the solution.
Update	2/8/2022	Addition of ASSA ABLOY integrated readers.

Correction	2/17/2022	Description update of HES lock.
Update	3/16/2023	Update on HID pivCLASS Validation System 5.23.1 and Lenel 8.1.
Update	7/27/2023	Update to add ASSA Abloy readers to PIV Reader Restrictions. Updated firmware for LNL-4420 to 5.21.19 and pivCLASS Enrollment SDK to 5.23.1.
Update	8/05/2024	The update is due to Reader Add-On FRTC for IDFactors.

### 1.3 Restrictions:

This product has been tested and approved as a fully compliant FICAM Solution component. The end-to-end solution components used to test the FICAM compliance are listed below.

- PACS Infrastructure (APL #**10112 and 10027**)
  - Common:
    - OnGuard 8.1 Software for ES/ADV/PRO/Enterprise systems
    - UL Listed 6Ah Power Supply and Enclosure
  - Embedded Authentication Configuration:
    - LNL X4420 Dual Reader Intelligent System Controller (ISC)
    - LNL 1300e Single Reader Interface Module (RIM)
    - LNL 1324e Dual Reader Edge Reader Interface Module (RIM)
    - LNL 1300-S3 Single Door Reader Interface Module (RIM)
    - LNL 1320-S3 Dual Reader Interface Module (RIM)
  - PAM Configuration:
    - LNL X4420 Dual Reader Intelligent System Controller (ISC)
    - LNL X3300 Intelligent System Controller (ISC)
    - LNL X2220 Dual Reader Intelligent System Controller (ISC)
    - LNL X2210 Single-Door Edge Intelligent System Controller (ISC)
    - LNL 1300-S3 Single Door Reader Interface Module (RIM)
    - LNL 1320-S3 Dual Reader Interface Module (RIM)
- PIV Reader
  - pivCLASS RPKCL40 Contact/Contactless Reader with PIN VAS0300 Rev. B.1 (APL# **10008**)
  - pivCLASS RKCL40 Contact/Contactless Reader VAS0300 Rev. B.1 (APL#

- o **10007)**
- o pivCLASS RPK40 Contact/Contactless Reader VAS0300 Rev. B.1 (APL# **10005)**
- o pivCLASS RK40 Contactless Reader VAS0300 Rev. B.1 (APL# **10004)**
- o pivCLASS RP40 Contactless Reader VAS0300 Rev. B.1 (APL# **10003)**
- o pivCLASS R40 Contactless Reader VAS0300 Rev. B.1 (APL# **10006)**
- o pivCLASS RPKCLB40 Contact/Contactless Reader with PIN & BIO VAS0300 Rev. B.1 (APL# **10026)**
- o pivCLASS RKCLB40 Contact/Contactless Reader with PIN & BIO VAS0300 Rev. B.1 (APL# **10052)**
- o pivCLASS R10 Contactless Reader Rev. B.1 (APL# **10085)**
- o pivCLASS RPK40 Contactless Reader + PIN, HDX OSDP (APL# **10116)**
- o **Embedded Authentication Only**
  - Veridt Dual Bio Contact/Contactless Reader (APL# **10092)**
  - Veridt Stealth Dual Contact/Contactless Reader (APL# **10093)**
  - Veridt Stealth Lite Dual Contact/Contactless Reader (APL# **10094)**
  - Veridt Stealth Contactless Reader (APL# **10095)**
  - Veridt Stealth Lite Contactless Reader (APL# **10096)**
  - HID Signo contactless smart card reader with keypad (APL #**10134)**
  - HID Signo contactless smart card reader (APL #**10135)**
  - HID Signo contactless smart card reader with keypad, mullion (APL #**10136)**
  - HID Signo contactless smart card reader, mullion (APL #**10137)**
  - ASSA ABLOY Corbin Russwin integrated lock with Signo reader - Contactless Only (APL #**10138)**
  - ASSA ABLOY Corbin Russwin lock integrated with Signo reader with Keypad - Contactless Only (APL #**10139)**
  - ASSA ABLOY Sargent lock integrated with Signo reader - Contractless Only (APL #**10140)**
  - ASSA ABLOY Sargent lock integrated with Signo reader with Keypad - Contactless Only (APL #**10141)**
  - ASSA ABLOY HES Server Cabinet Lock integrated with HID pivCLASS reader - Contactless Only (APL #**10142)**
- o IDFactors, Inc. PIV Dual Interface Reader with Keypad (OSDP) (APL #**10191)**

Approval of the above product/service indicates your cooperation with the Program and successful testing against a rigorous, comprehensive set of functional requirements derived from government-wide specifications. Testing included positive and negative test cases and threat vectors.

Given the strategic government-wide importance of the APL, the scope and extent of testing performed on your product/service, and the rigor of that testing, the Program would like to acknowledge the noteworthiness of the approval and listing. Your product/service will now be available for procurement to all federal agencies.

Please note that continued listing on the APL requires ongoing conformance to all applicable requirements, including requirements added or amended over time. A listed product/service can become non-conformant for various reasons, including but not limited to the following:

- New Functional Requirements and Test Cases. The Functional Requirement and Test Case (FRTC) documents are effective immediately. All approved Physical Access Control System (PACS) solutions must pass testing against new and revised FRTC requirements before the effective date of the new/revised requirements.
- Specific problems are discovered in a vendor's product or services (or class of products/services) after being listed on the APL. The affected vendor(s) are notified that the identified product(s) and services(s) must be improved within the time frames specified in the FRTC, commensurate with the severity level of the problem.
- Updates to testing requirements due to concerns provided to the Evaluation Program by Federal agency buyers.
- Updates to testing requirements due to vendor-provided issues to the Evaluation Program.
- A product whose last certification letter is older than 12 months.

Refer to applicable Agreements and Program documents for details regarding ongoing compliance, including severity levels and remediation time frames. Listed products and services that fall out of conformance will be removed from the APL and added to the Removed Products List (RPL).

If you have any questions, please feel free to contact me.

FIPS 201 Evaluation Program Manager  
Matthew Arnold  
GSA OGP  
(703) 254-6750  
Matthew.Arnold@gsa.gov